

1 UNITED STATES COURT OF APPEALS  
2 FOR THE SECOND CIRCUIT  
3

4 **SUMMARY ORDER**

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6 **THIS SUMMARY ORDER WILL NOT BE PUBLISHED IN THE FEDERAL**  
7 **REPORTER AND MAY NOT BE CITED AS PRECEDENTIAL AUTHORITY TO THIS**  
8 **OR ANY OTHER COURT, BUT MAY BE CALLED TO THE ATTENTION OF THIS**  
9 **OR ANY OTHER COURT IN A SUBSEQUENT STAGE OF THIS CASE, IN A**  
10 **RELATED CASE, OR IN ANY CASE FOR PURPOSES OF COLLATERAL ESTOPPEL**  
11 **OR RES JUDICATA.**

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13 At a Stated Term of the United States Court of Appeals for the Second Circuit, held at the  
14 Thurgood Marshall United States Courthouse, at Foley Square, in the City of New York, on the  
15 18th day of April, two thousand and six.

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17 PRESENT:

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19 HON. RALPH K. WINTER,  
20 HON. GUIDO CALABRESI,  
21 HON. ROSEMARY S. POOLER,

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23 *Circuit Judges.*  
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28 DAN BROWN AND RANDOM HOUSE, INC.,

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30 *Plaintiffs-Counter-Defendants-Appellees,*

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32 IMAGINE FILMS ENTERTAINMENT, LLC,  
33 SONY PICTURES RELEASING CORPORATION,  
34 SONY PICTURES ENTERTAINMENT, INC., and  
35 COLUMBIA PICTURES INDUSTRIES, INC.,

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37 *Counter-Defendants-Appellees,*

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39 v.

No. 05-4840-cv

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41 LEWIS PERDUE,

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43 *Defendant-Counterclaimant-Appellant,*  
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For Plaintiffs-Counter-Defendants-Appellees:  
and Counter-Defendants-Appellees

ELIZABETH A. MCNAMARA *of*  
Davis Wright Tremaine LLP (Linda  
Steinman and James Rosenfeld *of*  
Davis Wright Tremaine LLP, and  
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For Defendant-Counterclaimant-Appellant:

DONALD N. DAVID *of* Cozen  
O'Connor, PC, New York, N.Y.

Appeal from the United States District Court for the Southern District of New York (Daniels, *J.*).

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**UPON DUE CONSIDERATION, IT IS HEREBY ORDERED, ADJUDGED, AND  
DECREED** that the judgment of the district court be and it hereby is **AFFIRMED**.

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22 This appeal involves a copyright infringement action between Appellant Lewis Perdue  
23 (hereinafter “Appellant” or “Perdue”), author of two novels, *The Da Vinci Legacy* (1983) and  
24 *Daughter of God* (2000), and Appellees Dan Brown, Random House, Inc., and several associated  
25 entertainment companies (collectively “Appellees”), who respectively wrote, published, and made  
26 into a movie, the best-selling fiction novel *The Da Vinci Code* (2003).<sup>1</sup> After Appellant publicly  
27 alleged that Appellee Brown had, without permission, appropriated content from his two novels in  
28 creating *The Da Vinci Code*, Appellees filed suit against Perdue in federal court, seeking a

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<sup>1</sup> On appeal to us, Appellant claims that *The Da Vinci Code* “plagiarized primarily” from *Daughter of God*, and, “to a lesser extent,” from *The Da Vinci Legacy*. Appellant’s brief does not, however, develop his allegation of copyright infringement on the basis of *The Da Vinci Legacy*, and both parties treat this claim as essentially abandoned. We therefore only consider Appellant’s copyright infringement claim on the basis of *Daughter of God*.

1 declaratory judgment that they had not engaged in copyright infringement. Appellant promptly  
2 counterclaimed against Brown, his publisher, and the movie studios, seeking injunctive relief and  
3 \$150 million in damages. In response to motions for judgment on the pleadings and summary  
4 judgment, the District Court for the Southern District of New York (Daniels, *J.*) ruled in Appellees’  
5 favor, granting declaratory relief to Appellees and dismissing all of Appellant’s claims. We assume  
6 the parties’ familiarity with the facts, procedural history, and scope of issues on appeal, which we  
7 reference only as necessary to explain our decision.<sup>2</sup>

8 We review *de novo* the district court’s grant of summary judgment in favor of Appellees.  
9 *Arica Inst., Inc. v. Palmer*, 970 F.2d 1067, 1071 (2d Cir. 1992). Summary judgment is appropriate  
10 only when “there is no genuine issue as to any material fact and . . . the moving party is entitled to  
11 a judgment as a matter of law.” Fed. R. Civ. P. 56(c). A court must decide if “the evidence presents  
12 a sufficient disagreement to require submission to a jury or whether it is so one-sided that one party  
13 must prevail as a matter of law.” *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 251-52 (1986).

14 To establish copyright infringement, “two elements must be proven: (1) ownership of a valid  
15 copyright, and (2) copying of constituent elements of the work that are original.” *Feist Publ’n, Inc.*  
16 *v. Rural Tel. Serv. Co.*, 499 U.S. 340, 361 (1991). In the case before us, the parties do not dispute  
17 that Appellant obtained valid copyrights for his books. Appellant therefore needs only to  
18 demonstrate that Appellees copied original, constituent elements of his books. In the absence of  
19 direct evidence, copying may be established by showing “(a) that the defendant had access to the

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<sup>2</sup> For a thorough summary of *Daughter of God* and *The Da Vinci Code* (which are the principal subjects of this litigation), see *Brown v. Perdue*, 2005 WL 1863673, No. 04 Civ. 7417 (GBD) (S.D.N.Y. Aug. 4, 2005).

1 copyrighted work and (b) the substantial similarity of protectible material in the two works.” *Kregos*  
2 *v. Associated Press*, 3 F.3d 656, 662 (2d Cir. 1993); *see also Laureyssens v. Idea Group, Inc.*, 964  
3 F.2d 131, 139 (2d Cir. 1992) (stating that a plaintiff must prove both “access and substantial  
4 similarity between the works” (internal quotation marks omitted)). For purposes of the summary  
5 judgment motion, Appellees have conceded that they had access to Perdue’s books. This case  
6 therefore turns on the second part of the test: “whether, in the eyes of the average lay observer, [*The*  
7 *Da Vinci Code* is] substantially similar to the protectible expression in [*Daughter of God*].”  
8 *Williams v. Crichton*, 84 F.3d 501, 581, 587 (2d Cir. 1996).

9 In the case before us, the district court first distinguished between noncopyrightable and  
10 copyrightable work, following “a principle fundamental to copyright law,” that “a copyright does not  
11 protect an idea, but only the expression of an idea.” *Kregos*, 3 F.3d at 663 (internal citation omitted);  
12 *see also Warner Bros. Inc. v. Am. Broad. Cos.*, 720 F.2d 231, 239-40 (2d Cir. 1983) (holding that  
13 “[t]he similarity to be assessed must concern the expression of ideas, not the ideas themselves”). As  
14 to the copyrightable material in Appellant’s books, the court concluded, on the basis of a comparison  
15 of “the similarities in such aspects as the total concept and feel, theme, characters, plot, sequence,  
16 pace, and setting of the [two sets of books],” that “no reasonable trier of fact could find the works  
17 substantially similar.” *Williams*, 84 F.3d at 587-88 (internal quotation marks omitted). On that  
18 basis, the court granted summary judgment in favor of Appellees. Having considered the matter *de*  
19 *novo*, we now affirm the decision below for substantially the reasons given by the district court.<sup>3</sup>

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<sup>3</sup> The district court also decided that Appellant’s unjust enrichment claims were preempted by federal copyright law, and therefore dismissed those state law claims. Appellant did not appeal that decision to us. As a result, the question of whether all state law claims of unjust enrichment are preempted by federal copyright law is not before us. *See Perez v. Hoblock*, 368 F.3d 166, 171 (2d Cir. 2004) (issues not raised on appeal are deemed abandoned); *see*

